

FEE SCHEDULE FOR ACCOUNTS CUSTODIED WITH PERSHING LLC
(All fees are subject to change at anytime)

Service	Fee	Frequency	Definition
Account Transfers	\$50	Per Account Transferred	Accounts that are transferred to another financial institution
Legal Transfers	\$65	Per Certificate	Transfers/deposits requiring legal papers in addition to stock powers
Voluntary Reorganization	\$25	Per Security	Reorganizations such as tender offers, whereby a customer may elect to participate
Wire Transfers - Outbound	\$25	Per Transfer	Transfer of funds to another financial institution via Federal Wire System
Returned Check/ACH Non-Corestone	\$25	Per Occurrence	Checks/ACH deposited which are rejected for insufficient funds
Check Stop Payment Non-Corestone	\$25	Per Check Stopped	Placing a stop payment on a check issued by Pershing from your brokerage account
Returned Check/ACH Corestone	\$20	Per Occurrence	Checks/ACH deposited which are rejected for insufficient funds
Check Stop Payment Corestone	\$25	Per Check Stopped	Placing a stop payment on a check issued by Pershing from your brokerage account
Corestone Silver Account	\$25	Annual Fee	Program annual fee
Corestone Silver Account Plus	\$50	Annual Fee	Program annual fee
Corestone Gold Account	\$100	Annual Fee	Program annual fee
Corestone Platinum Account	\$150	Annual Fee	Program annual fee
Corestone Corporate Gold Account	\$150	Annual Fee	Program annual fee
Corestone Corporate Platinum Account	\$250	Annual Fee	Program annual fee
Initial Personal Check Order	\$0	Initial Order Only	Initial order of checks on personal account
Corestone Personal Check Reorder – Silver Account	\$12.50	Each Reorder	Reorder of Corestone Silver Account Checks
Corestone Personal Check Reorder – Gold Account	\$10	Each Reorder	Reorder of Corestone Gold Account Checks
Corestone Personal Check Reorder – Platinum Account	\$7.50	Each Reorder	Reorder of Corestone Platinum Account Checks
Carbon Copy Checks – Initial Order	\$15	Initial Order Only	Initial order of carbon-copy checks
Carbon Copy Checks – Reorder (Silver, Gold or Platinum Account)	\$25	Each Reorder	Reorder of carbon copy checks for either Silver, Gold or Platinum Accounts
Business Checks – Initial Order	\$50	Initial Order Only	Initial order of business checks
Business Checks – Reorder	\$40	Each Reorder	Reorder of Business Checks
Account Inactivity	50	Annual Fee	Fee charged annually for inactive accounts.
IRA Maintenance	\$50	Annual Fee	Fee charged annually based on anniversary date
Mutual Fund Only IRA or SIMPLE IRA Maintenance	\$25	Annually Per Account	Fee charged annually based on anniversary date for account owning only open-end mutual funds
SIMPLE IRA Maintenance	\$75.00	Annually Per Account	Fee charged annually based on anniversary date
QUALIFIED Plans Maintenance	\$50-\$125	Annually Per Account	Fee charged annually based on anniversary date

IRA Termination	\$95	Per Account	The closing or transfer of the IRA
Overnight Courier Delivery	\$12	Per Occurrence	Usage of overnight services such as UPS and FedEx
Cash/Margin Extension	\$20	Per Occurrence	Fee charged for inadequate cash or margin positions
Safekeeping	\$3.00	Per Certificate Per Month	For securities that are held at Pershing that are non-transferable.
Register and Ship Physical Certificate	\$35.00	Per Certificate	Fee charged when a customer requests to register and ship a certificate to them instead of holding it their Pershing account
Confirmation Fee	\$2.50	Per trade confirmation	Fee for all trade confirmations
Paper Statement Mailing Fee	\$2.00	Per account statement	For certain account types that do not elect for paperless/e-delivery
Paper Confirmation Mailing Fee	\$2.00	Per trade confirmation	For accounts that do not elect for paperless/e-delivery